

Electronic Acknowledgement Receipt

EFS ID:	10370609
Application Number:	09536663
International Application Number:	
Confirmation Number:	3493
Title of Invention:	DETERMINING INTRA-DAY NET ASSET VALUE OF AN ACTIVELY MANAGED EXCHANGE TRADED FUND
First Named Inventor/Applicant Name:	Gary L Gastein
Customer Number:	22930
Filer:	Kurt M. Maschoff/Edith Martin
Filer Authorized By:	Kurt M. Maschoff
Attorney Docket Number:	00322.0008.NPUS00
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1	Power of Attorney	N09005U_RevocationNewPOA_Statement.pdf	79389 f044462a93a47f0363176fc.aai1f314fe72.Jac	no	2

Warnings:

Information:

2	Miscellaneous Incoming Letter	N09005U_TransmittalForm.pdf	261518 11893e888605100f94e6c94ea03ea79728f 18:9	no	2
Warnings:					
Information:					
		Total Files Size (in bytes):		340907	
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p>					
<p>New Applications Under 35 U.S.C. 111 If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p>					
<p>National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p>					
<p>New International Application Filed with the USPTO as a Receiving Office If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					